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PATENT

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ART UNIT: 2661

CONFIRMATION No.: 4894

DOCKET No. 243768009US1

(25MB-0021)

RE APPLICATION OF: JEFFREY TUATINI

APPLICATION No.: 09/755,597

FILED: JANUARY 2, 2001

FOR: PASSTHRU TO SHARED SERVICE  
FUNCTIONALITY

**Information Disclosure Statement Within Three Months of  
Application Filing or Before First Action – 37 CFR 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No.

- ☐ All cited references
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☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be

an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
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3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

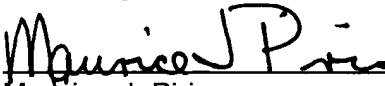
4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,  
Perkins Coie LLP

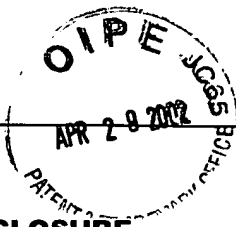
  
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First Named Inventor Jeffrey Tuatini

Group Art Unit 2661

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Attorney Docket No.

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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIALS*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	AA	09/755,621		Tuatini	1/2/01	
	AB	09/753,038		Tuatini	12/28/00	
	AC	09/755,599		Tuatini	1/2/01	
	AD	09/745,073		Chacko	12/19/00	
	AE	09/753,036		Tuatini	12/28/00	
	AF	09/753,035		Eng	12/28/00	
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	AH	5,950,195		Stockwell et al.	9/7/99	
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**FOREIGN PATENT DOCUMENTS**

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		Office	Number	Kind Code (if known)				
	AJ	WO	WO02/01356		Preview Systems, Inc.	1/3/02		
	AK	EP	EP1143664		Alcatel Internetworking, Inc.	10/10/01		
	AL	WO	WO01/17195		Accenture LLP	3/8/01		
	AM	EP	EP1126681		Sun Microsystems, Inc.	8/22/01		
	AN	WO	WO00/65507		Network Solutions, Inc.	11/2/00		

**OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

*EXAMINER INITIALS*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T
1	AO	Jini™ Technology Core Platform Specification, Sun Microsystems, Version 1.2, pages 1-126, December 2001	
2	AP	JINI™ JavaSpaces™ Service Specification, Sun Microsystems, Version 1.2, pages 1-28, December 2001	
3	AQ	Jini™ Architecture Specification, Sun Microsystems, Version 1.2, pages 1-21, December 2001	

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11	AD	Netegrity Securely Managing E-Business, "Netegrity SiteMinder 4.6: Competitive Advantages" <a href="http://www.netegrity.com/products/i...">http://www.netegrity.com/products/i...</a> 1991-2001, Netegrity, Inc. [Accessed January 28, 2002]	
12	AE	Brokat, "Brokat Technologies Announces New Version of Java™ Based Business Rules Technology, Sixty Seconds to Sophisticated Rule Maintenance Applications for Business Managers," San Jose, California, June 5, 2001 <a href="http://www.brokat.com/en/news/pr_...">http://www.brokat.com/en/news/pr_...</a> [Accessed January 28, 2002]	
13	AF	Eclipse, Knowledge Automation Thru Rule-Based Programming, Knowledge Management for Business Rules, The Haley Enterprise, Inc., Sewickley, PA, 1999	
14	AG	Grosof, Benjamin N. and Labrou, Yannis, IBM Research Report, "An Approach to Using XML and a Rule-Based Content Language with an Agent Communication Language," Electrical Engineering and Computer Science Department, University of Maryland, Baltimore, Maryland, May 28, 1999	
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16	AI	Extensible Markup Language (XML) 1.0 (Second Edition), W3C Recommendation, October 6, 2000.	
17	AJ	OpenLDAP 2.0 Administrator's Guide, September 15, 2000 <a href="http://www.openldap.org/doc/admin...">http://www.openldap.org/doc/admin...</a> [Accessed January 28, 2002]	

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210	AF	developerWorks : Web Architecture XML : Library "IBM Application Framework for e-business: Web Application Programming Model" <a href="http://www.ibm.com/developer/features/framework/framework.html">http://www.ibm.com/developer/features/framework/framework.html</a> December 14, 2000	
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230	AH	Java Message Service™, Version 1.0.1, Sun Microsystems, Inc., Mountain View California, October 5, 1998	
240	AI	Sun Microsystems, Inc., Java Naming and Directory Interface™ Application Programming Interface (JNDI API), July 14, 1999	
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260	AK	Trythall, Steve, "JMS and CORBA Notification Interworking," O'Reilly Network, December 12, 2001 <a href="http://www.onjava.com/lpt/a/onjav...">http://www.onjava.com/lpt/a/onjav...</a> [Accessed January 28, 2002]	

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